



Love Your Money

Conserve and Grow
your hard-earned money by investing in bonds

JOINING DOCKET

Serial No: _____

UCC No: _____

Account Opening Date: _____



INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self-attested copy of PAN card is mandatory for all clients, including Promoters/Partners/Karta/Trustees and whole-time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport / PIO Card / OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state-owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central / State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their members; and Credit cards / Debit cards issued by Banks.

C. Proof of Address (POA):

List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport / Voters Identity Card / Ration Card / Registered Lease or Sale Agreement of Residence / Driving License.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement / Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court Judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks / Scheduled Co-Operative Bank / Multinational Foreign Banks / Gazetted Officer / Notary public / Elected representatives to the Legislative Assembly / Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card / document with address, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their members.
7. For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions / clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and / or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities / multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds up to Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCI, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

F. Additional documents as per the risk management policy of the stock broker need to be provided by the client from time to time

G. Copy of cancelled cheque leaf / pass book / bank statement specifying name of the constituent, MICR code or / and IFSC Code of the bank should be submitted. - Not more than 3 months old.

For Individuals:

a. Stock broker has an option of doing 'in-person' verification through web camera at the office of the stock broker / Authorized Person's Office.

b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including you own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker’s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

✍ 1st HOLDER’S SIGNATURE

✍ 2nd HOLDER’S SIGNATURE

✍ 3rd HOLDER’S SIGNATURE

INDEX OF DOCUMENTS

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI AND EXCHANGE(S)

Sl No.	Name of the Document	Significance of the Document	Page No.
1	Account Opening Form	A. KYC form - Document captures the basic information about the constituent and instruction / checklist.	4-8
		B. Document captures the additional information about the constituent relevant to Trading account and an instruction / checklist.	
2	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s).	9-10
3	Policies and Procedures	Document describing significant policies and procedures of the stock broker.	SEPARATE COPY FOR CLIENT
4	Rights and Obligations	Document stating the Rights and Obligations of Stock Broker / Trading Member, Sub-Broker / Authorized Person and client for trading on exchange(s). (Including additional rights and obligations in case of internet / wireless technology-based trading).	
5	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	
6	Guidance notes	Document detailing dos and don'ts for trading on exchange for the education of investors.	

MANDATORY DOCUMENTS FOR OPENING OF DEMAT ACCOUNT

SI No.	Name of the Document	Significance of the Document	Page No.
1	Account Opening Form	Document captures the additional information about the constituent relevant to Demat account and an instruction / checklist.	11-13
2	Tariff Sheet	Document detailing the rate / amount of brokerage and other charges levied on the client for DP Service charges / Fee Structure.	14
3	FATCA CRS Declaration	Client's Self Declaration on holding of overseas assets, if any.	15
4	Policies and Procedures	Document stating the Rights and Obligations of Beneficial Owners and Depository Participants.	Separate Copy for Client

MANDATORY DOCUMENTS FOR RFQ

SI No.	Name of the Document	Significance of the Document	Page No.
1	KYC form for RFQ	Basic KYC details of the Client	16-17

VOLUNTARY DOCUMENTS PROVIDED BY THE STOCK BROKER

SI No.	Name of the Document	Significance of the Document	Page No.
1	Letter of Authorization for Electronic Contract Notes and Authorization for Running Account	Letter of authorization for maintaining a running account with Bondbazaar and Client consent letter for receiving the contract note by email.	18
2	Voluntary Terms and Conditions	Additional Terms and Conditions specific to clients for the purpose of operational efficiency.	Separate Copy for Client
3	Nomination Details and opt out facility	Collects detail of any nomination made for the accounts.	19-20
4	Client Acknowledgement	Client's acknowledgement that they have read all the rules and regulations.	21-23

Name of the Trading and Clearing Member: Bondbazaar Securities Private Limited (herein after referred to as "Bondbazaar")

Cash and Debt Segment: NSE, BSE: Bondbazaar Securities Private Limited
New Debt Segment - Reporting Settlement and Trading NDS-RST of BSE and CBRICS of NSE-
Bondbazaar Securities Private Limited

SEBI Registration Number and date: Bondbazaar Securities Private Limited Member of NSE and BSE- INZ000303236 | 31 December, 2021 | NSE (Capital Market and Debt Segment): Trading Membership No.90247 | NSE Clearing Member ID: M70039 | BSE: Trading Membership No. (Capital Market and New Debt Segment): 6768 | BSE New Debt Segment ICCL Clearing Member ID: 6768 | DP SEBI Registration Number and date: CDSL: IN-DP-700-2022 | 7th July, 2022

Bondbazaar's Registered Office: 204-205, Balarama Co-Op Housing Society Ltd. Bandra Kurla Complex, Bandra East, Mumbai-400051

Phone: 022-35121163/64

Website: www.bondbazaar.com

Correspondence Address: 206, Balarama Co-Op Housing Society Ltd., Bandra Kurla Complex, Bandra East, Mumbai-400051

Phone: 022-35121163/64

Website: www.bondbazaar.com

Compliance Officer:

Name: Mrs. Srishti Varma

Contact No.: 022-35121163/64

Email id: compliance@bondbazaar.com

Designated Director:

Name: Mr. Prashant Shenoy

Contact No.: 02235121163/64

Email id: ceo@bondbazaar.com

In case not satisfied with the response, please contact the concerned exchange at:

NSE: ignse@nse.co.in or contact at 022-26598100

BSE: se@bseindia.com or contact at 022-22728097

CDSL: complaints@cdslindia.com or contact at 1800 200 5533

For any grievance/dispute please contact BONDBAZAAR at the above address or email id:

complaints@bondbazaar.com and phone +91 7400401010

"You may also lodge grievances with SEBI at https://scores.sebi.gov.in/. For any queries, feedback or assistance, please contact SEBI office on Toll Free Helpline at 1800227575/18002667575"

KNOW YOUR CLIENT (KYC) APPLICATION FORM - FOR INDIVIDUALS

Please fill this form in **English** and in **BLOCK** Letters

(Please tick the box on the left margin of the appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding window)

For Office Use Only (To be filled by the financial Institution)

Application Type* New Update KYC Number*

A. IDENTITY DETAILS

- 1. "Name (same as ID proof)
- 2. Maiden Name (if any)
- 3. "Father's/Spouse's Name
- 4. Mother's Name
- 5. Gender Male Female Others
- 6. Marital Status Single Married Others
- 7. DOB
- 8. Citizenship Indian Others _____ (ISO 3166 Country Code)
- 9. Residential Status: Resident Individual Non Resident Indian
 Person of Indian Origin Foreign National

PHOTOGRAPH
Please affix your recent passport size photograph and sign across it. @ F1

Tick if applicable Residence for tax purposes in jurisdiction(s) outside India

ISO 3166 Country Code of Jurisdiction of residence

Place of Birth _____

Tax Identification No or Equivalent

ISO 3166 Country Code of Birth

10. PAN

11. Unique Identification No. (UID)/AADHAR

12. Proof of Identity submitted Pan Card Other (Please Specify)

B. ADDRESS DETAILS

1. *Contact Details

Telephone (Office)

Telephone (Residence)

*Mobile No

Email ID*

2. *Residence/ Correspondence Address
Address Type "Residential" "Business" "Unspecified"

Address									
City/Town			District			Pin Code			
State/U.T.Code			Country		India		ISO Code		
Specify the Proof of Address Submitted for Residence / Correspondence Address									

3. *Permanent Address

Address									
City/Town			District			Pin Code			
State/U.T.Code			Country		India		ISO Code		
Specify the Proof of Address Submitted for Residence / Correspondence Address									

4. Address in the jurisdiction details where applicant is resident outside India for Tax Purpose (if applicable)

Address									
City/Town			District			Pin Code			
State/U.T.Code			Country		India		ISO Code		

C. DECLARATION


I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it. I/We hereby consent to receiving information from CVL KRA & C-KYC through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

I, _____ S/o / D/o / W/o _____, give my consent to download my KYC Records from the Central KYC Registry (CKYCR), only for the purpose of verification of my identity and address from the database of CKYCR Registry.

I understand that my KYC Record includes my KYC Records /Personal information such as my name, address, date of birth, PAN number etc.

Date

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 Client Signature
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E. TRADING ACCOUNT RELATED DETAILS

1. *Bank Account Details

Account Type: Savings Current Others | In Case of NRI Account: NRE NRO

Bank Name			
Branch Address			
Account No.			
MICR Code		IFSC Code	

2. Other Details

*Gross Annual Income Details (please specify): Income Range per annum

Below Rs. 1 Lakh 1-5 Lakh 5-10 Lakh 10-25 Lakh 25 Lakh to 1 Cr >1 Cr

Or Net-worth _____ as on (date) _____ (Net worth should not be older than 1 year)

3. Occupation

Private Sector Public Sector Government Services Business Professional Agriculturist
Retired House wife Student Self Employed

Others (Please Specify): _____

Mode in which you wish to receive the RDD, Right and Obligations, and Guidance Note: Physical
Electronic

Please tick if applicable: Politically Exposed Person (PEP)
Related to politically Exposed Person (RPEP)

4. Past Actions

Details of any action / proceedings initiated / pending / taken by SEBI / Stock Exchange/ any other authority against the applicant / constituent or its partners, promoters / whole time directors / authorized person in charge of dealing in securities during the last 3 years _____

F. DEPOSITORY ACCOUNT DETAILS

Depository Name: NSDL CDSL

Depository Participant Name			
Beneficiary Name			
Depository ID		Beneficiary ID	

G. DEALINGS THROUGH OTHER STOCK BROKERS

Whether dealing with other stock broker / sub-broker (In case dealing with multiple stock brokers / sub-brokers provide details of all)

Name of the stock broker		Sub-broker if any	
Client Code		Exchange(s)	
Website			
Details of disputes/dues pending from/to such stock broker			

H. INTRODUCER DETAILS (Optional)

AP Code			
Name of the Introducer			
Status of the Introducer - Sub-broker / Remiser / Authorized Person / Existing Client			
Address of the Introducer			
Phone Number		Signature (Optional)	

I. TRADING PREFERENCE / EXPERIENCE

No Prior Experience

Years in Equities		Years in other investment Related Field	
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Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client. *If, in future, the client wants to trade on any new segment, a separate authorization/letter should be taken from the client by the broker.

Exchange	Cash Segment	Debt Segment
NSE	<input type="checkbox"/> Client Signature	<input type="checkbox"/> Client Signature
BSE	<input type="checkbox"/> Client Signature	<input type="checkbox"/> Client Signature

J. ADDITIONAL DETAILS

Whether you wish to receive (a) Physical Contract Note (b) Electronic Contract Note

(I) Whether you wish to avail the facility of internet trading/wireless technology/mobile trading
 Yes No

K. NOMINATION DETAIL (Please tick the appropriate options)

- I/We do wish to nominate (Fill Annexure 1A)
- I/We do not wish to nominate (Fill Annexure 1B)

L. DECLARATION

1. I/we hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case of the above information is found to be false or untrue or misleading or misrepresenting, am/are aware that I/we may be held liable for it.
2. I/we confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/we further confirm having read, and understood the content of 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/we do hereby agree to be bound by such provisions as outlined in these documents. I/we have also been informed that the standard set of the documents has been displayed for information on stock broker's designated website, if any.

Client Name: _____
 Place: _____

Date:

*Bondbazaar may carry out proprietary trades in addition to cliental trades.

TARIFF SHEET-TRADING ACCOUNT

To
Bondbazaar Securities Private Limited
204-205, Balarama Co-Op Housing Society Ltd.,
Bandra Kurla Complex, Bandra East.
Mumbai-400051.

Charges for Bond bazaar Trading Services

I/We agree to pay the charges as per following charge structure for our trading account with Bond bazaar effective _____ Client ID: _____

Charge Head	Brokerage / Charges (Per Trade)	
Exchange		
Intraday Trading	₹	
Delivery	₹	
Call and Trade Services	₹	
RFQ		
Delivery	₹	
Intraday Trading	₹	
Reporting Charges	₹	
Trade Reporting Charges	₹	
Settlement Failure Charges	₹	Per transaction

Disclaimer: For Delivery based trades, minimum of R. 0.01 will be charged per contract note. Clients who opt to receive physical contract notes will be charged .100.00 per contract note including courier charges.

In addition to the brokerage charge the following charges will also be levied.

Schedule of Charges for NSE BSE and NDS-RST, CBRICS:

1. Exchange Transaction Charges | 2. Clearing Charges | 3. Securities Transactions Tax | 4. Goods and Services Tax
- | 5. SEBI Turnover fees (Inclusive of GST) | 6. Stamp Duty: State-wise stamp duty as applicable |
7. Any other statutory/ regulatory charges as maybe applicable, will be levied.

Note: Brokerage will not exceed the rates specified by SEBI and the Exchanges. All Statutory and Regulatory charges will be levied as per exchange. SEBI and Depository circulars published from time to time. The above tariff is subject to change. Changes if any will be intimated 30 days in advance.

Charges for other value-added services will be applicable at the time of availing such service, upon your consent.

CLIENT SIGNATURE

FOR OFFICE USE ONLY

UCC allotted to the client _____

	Documents Verified with Originals	Client Interviewed By	In-person Verification Done by
Name of the Employee			
Employee Code			
Designation of Employee			
Date			
Signature			

I/We undertake that we have made the client aware of the 'Policy and Procedures, tariff sheet, and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document(s). RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all non-mandatory documents would be duly intimated to the clients and, I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

SIGNATURE OF THE AUTHORISED SIGNATORY

DATE _____

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT FOR INDIVIDUALS

(To be filled by the Depository Participant)

Application No.		Date		DP Internal Ref. No.	
DP ID	12096100	Exchange	NSE/BSE	UCC	Client ID

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/our name as per following details:

A. HOLDER DETAILS

Sole/First Holder's Name					
PAN		DOB	D D M M Y Y Y Y	UID	
Second Holder's Name					
PAN		DOB	D D M M Y Y Y Y	UID	
Third Holder's Name					
PAN		DOB	D D M M Y Y Y Y	UID	

* In case of Firms, Association of Person (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

B. MODE OF OPERATION

FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge, Freeze)

<input type="checkbox"/> Jointly		<input type="checkbox"/> Anyone of the Holder	
Consent for Communication to be received by first account holder/ all Account holder: (Tick the applicable box. If not marked the default option would be first holder.)			
<input type="checkbox"/> First Holder	<input type="checkbox"/> All Holder		Email
	<input type="checkbox"/> Second Holder		
	<input type="checkbox"/> Third Holder		

C. ADDRESS DETAILS

1. *Contact Details

Telephone (Office)

Telephone (Residence)

Mobile No Email ID

2. *Residence /Correspondence Address

Address Type Residential Business Unspecified

Address				
City/Town		District		Pin Code
State/U.T.Code		Country/ISO Code		
Specify the Proof of Address Submitted for Residence / Correspondence Address				

3. *Permanent Address

Address				
City/Town		District		Pin Code
State/U.T.Code		Country/ISO Code		
Specify the Proof of Address Submitted for Permanent Residence				

D. TYPE OF ACCOUNT (Please tick whichever is applicable)

Status	Sub-status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Individual Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others (Specify)
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Partner <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (Specify)
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Others (Specify)	<input type="checkbox"/> Foreign National - Depository Receipts

E. DETAILS OF GUARDIAN (In case the account holder is minor)

Guardian's Name		PAN	
Relationship with the Applicant			

I / We instruct the DP to receive each and every credit in my / our account [Auto Credit] Yes No (If not marked, the default option would be 'yes')

I / We would like to instruct the DP to accept all the pledge instructions in my / our account without any other further instruction from my/our end. (If not marked, the default option would be 'No') Yes No

Account Statement Requirement: As per SEBI Regulation Daily Weekly Monthly Fortnightly

I / We request you to send electronic transaction-cum-holding statement at following Yes No

*Email ID		*Mobile No.	
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I/We would like to share the email ID with the RTA Yes No

I/We would like to receive the Annual Report Physical Electronic Both Physical and Electronic (Tick the applicable box. If not marked, the default option will be 'Physical')

I/We would wish to avail the following facility. Yes No

Basic Service Demat Account Facility (BSDA)

F. NOMINATION DETAILS (Please tick appropriate choices from options)

- I / We do wish to nominate (Fill Annexure-A)
- I / We do not wish to nominate (Fill Annexure-1B)

I / We wish to receive dividend/interest directly in to my bank account as given below through ECS Yes No

(If not marked, the default option would be 'yes') [ECS is mandatory for locations notified by SEBI from time to time]

G. BANK DETAILS (Dividend bank details)

Account Type: Saving Current Others (specify)

Bank Code (9-digit MICR Code)			
IFSC Code (11 Character)			
Account No.			
Bank Name			
Branch Name			
Branch Address			
City		State	
Country			Pin Code

- a. Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- b. Photocopy of Bank Statement having name and address of the BO
- c. Photocopy of the pass book having name and address of the BO, or (iv) Letter from Bank.

* In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present/mentioned on the document.

H. OTHER DETAILS

* **Gross Annual Income Details** (please specify): Income Range Per Annum

Below Rs 1 Lakh 1-5 Lakh 5-10 Lakh 10-25 Lakh >25 Lakhs >1 Crore

Or Net-worth _____ as on (date) _____ (Net worth should not be older than 1 year)

Occupation:


Please tick if applicable: Politically Exposed Person (PEP)

Related to Politically Exposed Person (RPEP)

Any other information: _____

SMS Alert Facility Refer to Terms and Conditions given in Annexure - 2.4	Mobile No. +91 _____ [Mandatory, if you are giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option)
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Transactions using Secured Texting Facility (TRUST). Refer to Terms and Conditions given in Annexure - 2.6	I wish to avail TRUST facility using the Mobile Number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.		
	I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST. <input type="checkbox"/> Yes <input type="checkbox"/> No		
	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)

	To register for easiest, please visit our website www.cdslindia.com . Easiest allows a BO to view ISIN balances, transactions and value of the Portfolio online.
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1 st HOLDER'S SIGNATURE	2 nd HOLDER'S SIGNATURE	3 rd HOLDER'S SIGNATURE
/s/	/s/	/s/

TARIFF SHEET – DP ACCOUNT

To Bondbazaar Securities Private Limited
204-205, Balarama Co-Op Housing Society Ltd.,
Bandra Kurla Complex, Bandra East. Mumbai-400051.

Charges for Bondbazaar Trading Services

I/We agree to pay the charges as per following charge structure for our Demat account with Bondbazaar effective _____

Client ID: _____

Schedule-A Tariff Sheet		
Details		Charges
Demat Account Opening Charges		
Stamp charges payable upfront		
Annual Maintenance Charges		
a) Individuals		
b) Basic Services DEMAT Account (BSDA)		00.00
c) Non- Individual		500.00 p.a.
Transaction Charges (Market Trades) - Charges Per Trade		
Buy (Receive)		
Sell (Debit)		
Transaction Charges (Off Market Trades)		
Buy (Receive)		
Sell (Debit)		
Demat (As per Certificate)		
Remat (As per Certificate)		per certificate
Courier Charges per Demat/Remat/Demat Rejection/CMR		
Margin Pledge / Unpledge Pledge Invocation		
Periodic Statement		
By Email		
Physical		including courier
Adhoc / Non-Periodic Statement		
By Email		
Physical		including courier
Delivery Instruction		
First Delivery Instruction Book (10 Leaves)		
Every Additional Booklet (10 leaves)		
Failed transaction		
Modification in CML		
KRA Upload / Download		

NOTE: For all purposes the bill date shall be construed as the date demand and the bills will be considered as the bill cum notice for payment and Bondbazaar Securities Private Limited reserves the right to freeze depository account for debit transaction in case of nonpayment of charges after two days from the bill date. Charges quoted above are for the services listed only and any service not forming part of the above list shall be charged separately upon intimation and approval. The above tariff is subject to change. Changes if any will be intimated 30 days in advance. Annual Maintenance Charges (AMC) is nonrefundable. GST is applicable on all above charges except stamp charges.

I/We understand that any instruction provided by me to Bondbazaar to transfer securities from my account shall be rejected by Bondbazaar, if there is any debit balance or any update amounts due as per this tariff sheet to Bondbazaar

₹ 1ST HOLDER'S SIGNATURE

₹ 2ND HOLDER'S SIGNATURE

₹ 3RD HOLDER'S SIGNATURE

A. FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

Please fill the information below as requested	FIRST ACCOUNT HOLDER	SECOND ACCOUNT HOLDER	THIRD ACCOUNT HOLDER
Name of the Account Holder			
Indicate () your Tax Residency / Citizenship / Nationality	<input type="checkbox"/> India <input type="checkbox"/> U.S.A <input type="checkbox"/> Others	<input type="checkbox"/> India <input type="checkbox"/> U.S.A <input type="checkbox"/> Others	<input type="checkbox"/> India <input type="checkbox"/> U.S.A <input type="checkbox"/> Others
If ticked on "Others" and/or "U.S.A", please provide all details as required below:			
Specify City and Country of Birth			
Specify Country(ies) of Tax Residency/ Citizenship / Nationality / Green card holder, other than India			
Tax Identification Number (for U.S.A.) or its functional equivalent (other than U.S.A.)			
Source of Wealth	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Rental Income <input type="checkbox"/> Royalty <input type="checkbox"/> Ancestral <input type="checkbox"/> Prize Money <input type="checkbox"/> Property Others	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Rental Income <input type="checkbox"/> Royalty <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Prize Money <input type="checkbox"/> Others	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Rental Income <input type="checkbox"/> Royalty <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Prize Money <input type="checkbox"/> Others

B. DECLARATION

I / We hereby declare, agree and confirm the following:

a) The details furnished above are true to the best of my knowledge and belief and shall undertake to inform Bondbazaar Securities Private Limited within 30 days, in case of any change in the above given status on a future date;

b) If I /we am/are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), my account details, would be reported by Bondbazaar Securities Private Limited to the relevant tax authority or information may be shared with concerned Asset Management Companies (AMCs) or to any of the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India;

✍️ CLIENT SIGNATURE	✍️ CLIENT SIGNATURE	✍️ CLIENT SIGNATURE

What is FATCA / CRS?

The U.S. government introduced the Foreign Account Tax Compliance Act, 2010 (FATCA) for obtaining information on accounts held by U.S. taxpayers in other countries. Further, Organization for Economic Co-operation & Development (OECD) and G20 countries agreed for automatic exchange on information through Common Reporting Standards (CRS). The Government of India has signed an Inter-Government Agreement (IGA) with US and has also joined the Multilateral Competent Authority agreement (MCAA) for automatic sharing of information with member countries of OECD and G20. By virtue of India signing an IGA with US and joining MCAA, Indian financial institutions will have to provide the required financial information to Indian tax authorities which in turn would forward reportable information to US IRS and member countries of OECD and G20 countries. US Person means: In case of individuals, U.S. person means a citizen or resident of the United States. Persons who would qualify as U.S. persons could be born in United States, born outside the United States of a US parent, Naturalized citizens, Green Card Holders, tax residents. Who is Reportable Person (Non US) under Common Reportable Standards (CRS)? Under Common Reportable Standards (CRS), reportable person means Tax residents of a reportable foreign jurisdiction other than U.S. (Please note the above information is provided only for quick reference to customers. You are requested to consult a legal/ tax advisor if in doubt.) Documents to be collected if Customer's Country of birth is U.S. but declare that he/ she are not a U.S. person

1. Certificate of relinquishment of citizenship (Loss of nationality certificate); OR
2. Self-certification for stating reasons for not having such a certificate despite relinquishment U.S. citizenship; OR
3. Self-certification for stating reasons for not obtaining U.S. citizenship at birth.

KNOW YOUR CLIENT (KYC) APPLICATION FORM - FOR INDIVIDUALS (RFQ)

Please fill this form in English and in BLOCK Letters

(Please tick the box on the left margin of the appropriate row where CHANGE/CORRECTION is required and provide the details in the corresponding window)

A. IDENTITY DETAILS

<input type="checkbox"/> 1. *Name (same as ID proof)	<input type="text"/>
<input type="checkbox"/> 2. *Father's / Spouse's Name	<input type="text"/>
<input type="checkbox"/> 3. *Mother's Name	<input type="text"/>
<input type="checkbox"/> 4. Gender <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	
<input type="checkbox"/> 5. DOB <input type="text" value="D D M M Y Y Y Y"/>	<input type="checkbox"/> 6. UCC Number <input type="text"/>

PHOTOGRAPH
Please affix your recent passport size photograph and sign across it.

7. Citizenship Indian Others _____(ISO 3166 Country Code)

8. Residential Status Resident Individual Non-Resident Indian
 Person of Indian Origin Foreign National

9. PAN

10. Unique Identification No. (UID) / AADHAR

11. Proof of Identity submitted Pan Card Other (Please Specify) _____

12. Marital Status: Single Married Others

B. ADDRESS DETAILS

1. *Contact Details

Telephone (Office)

Telephone (Residence)

*Mobile No *Email ID

2. *Residence / Correspondence Address

Address Type Residential Business Unspecified

Address					
City/Town	District	Pin Code			
State/U.T.Code	Country	India	ISO Code		
Specify the Proof of Address Submitted for Residence / Correspondence Address					

3. *Permanent Address

Address							
City/Town		District		Pin Code			
State/U.T.Code			Country		India	ISO Code	
Specify the Proof of Address Submitted for Permanent Residence							

C. BANK ACCOUNT DETAILS

1. *Bank Account Details

Account Type: Savings Current Others | In Case of NRI Account: NRE NRO

Bank Name			
Branch Address			
Account No.			
MICR Code		IFSC Code	

D. DEPOSITORY ACCOUNTS(S) DETAILS (In case of linking existing demat A/C.)

Depository Name NSDL CDSL

Depository Participant Name			
Beneficiary Name			
Depository ID		Beneficiary ID	

E. ADDITIONAL DETAILS


(I) Whether you wish to receive (a) Physical Contract Note (b) Electronic Contract Note
 (II) Whether you wish to avail the facility of internet trading/wireless technology/mobile trading
 Yes No

NOTE:

*Bondbazaar may carry out proprietary trades in addition to cliental trades.
 *The trades will be reported to NDS-RST / CBRICS.

F. DECLARATION

I hereby give consent to Bondbazaar Securities Pvt. Ltd. to submit / report my trades and confirmations, as applicable to RFQ / Reporting platforms on my behalf from time to time. I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it. I/We hereby consent to receiving information from CVL KRA & C-KYC through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only

Client Name:	
 Client's Signature	

DATE

LETTER OF AUTHORISATION FOR ELECTRONIC CONTRACT NOTES & AUTHORISATION FOR RUNNING ACCOUNT

To,

**BONDBAZAAR SECURITIES PRIVATE LIMITED,
204-205, Balarama Co-Op Housing Society Ltd., Bandra Kurla Complex, Bandra East, Mumbai -400051****SUB: Authorization for Electronic Contract Notes & Authorization for Running Account**

I have been/shall be dealing through you as my broker on the Capital Market & Debt Market segments. As my broker i.e. agent I direct and authorize you to carry out trading/dealings on my behalf as per instructions given below.

I understand that I have an option to receive the contract notes in physical form or electronic form. In pursuance of the same, I hereby opt to receive contract notes in electronic form. I understand that for the above purpose, you are required to take from the client "an appropriate email account" for you to send the electronic contract notes. Accordingly, please take the following email account /email id on your record for sending the contract notes to me:

*EMAIL ADDRESS:

1. I also agree that non-receipt of bounced mail notification by you shall amount to delivery at my email account/email id.
2. I agree not to hold you responsible for late/non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.
3. I agree that the log reports of your dispatching software shall be a proof of dispatch of contract notes to me and such dispatch shall be deemed to mean receipt by me and shall not be disputed by me on account of any non-receipt/delayed receipt for any reason whatsoever.
4. I understand that I am required to intimate any change in the email id/email account mentioned herein above needs to be communicated by me through a physical letter to you, provided however that if I am an internet client then in that event the request for change in email id/email account can be made by me through a secured access using client specific user id and password. Please treat this authorization as written ratification of my verbal directions/authorizations given and carried out by you earlier. I shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my directions given above.
5. I understand that, you shall send physical copy of the contract note within 24 hours if you received a bounced email.
6. I am a registered client with you, and am dealing in Capital market & Debt Market segments and have a Bondbazaar Securities Pvt. Ltd. client code as mentioned herein _____.
7. I am aware that payout/dividend received/ credited from the exchange(s) against settlement of transaction as per settlement cycle is available within 1 working day of the payout from the Exchange. It is difficult for me to make available the required funds of every pay-in of funds obligation/ margin obligation, hence I request you to maintain my account on a running basis and retain the payout received/ credit balance in my account for my future obligation/ margin obligation or other liabilities unless I instruct otherwise.
8. I hereby further authorize you to debit/ credit/ transfer the amounts between the various segments either on the same Exchange and/ or Exchanges to meet my/our obligation or various dues payable to you/ Exchange(s).
9. If payment of funds is required, I shall request you in writing or through the web option for funds withdrawal on the web login. Further it may be noted that if required I may revoke this authorization at any time after giving a request in writing.
10. This running account authorization would continue until it is revoked by me. The actual settlement of funds shall be done at least once in the preference period selected below: QUARTERLY MONTHLY
11. While settling the account having outstanding obligations on the settlement date, you may retain the requisite funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges. You may further retain sum of Rs. 10,0000/.
12. I shall bring any dispute arising from the statement of account or settlement so made to your notice within 30 working days from the date of receipt of funds or statement, as the case may be else it will be final.

Yours faithfully,

Client Name:

DATE

Annexure – A
(SEBI/HO/OIAE/OIAE_IAD-3/P/ON/2025/01650, dated January 10, 2025)

Nomination Details

Name	UCC	Demat ID
I / We wish to make a nomination (As per details given below)		
Nomination Details		
I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my/our account in the event of my/our death.		

NOMINATION DETAILS

Nominee	Name of Nominee	% of Share	Relationship with Applicant	Postal Address
Nominee 1				
Nominee 2				
Nominee 3				
Nominee 4				
Nominee 5				
Nominee 6				
Nominee 7				
Nominee 8				
Nominee 9				
Nominee 10				

 First Holder <small>(Guardian in case of minor)</small>

 Second Holder
--

 Third Holder

NOMINATION DETAILS

Nominee	Mobile & Email	Identity No (PAN or Driving License or last 4 digit of Aadhaar)	Date of Birth of Nominee	Name of Guardian & Relationship with Guardian
Nominee 1				
Nominee 2				
Nominee 3				
Nominee 4				
Nominee 5				
Nominee 6				
Nominee 7				
Nominee 8				
Nominee 9				
Nominee 10				

Note: This nomination shall supersede any prior nomination made by the account holder(s) if any . The intermediary shall provide acknowledgement of the nomination form to the account holder(s). If % is not specified, then the assets shall be distributed equally among all the nominees


First Holder
(Guardian in case of minor)


Second Holder


Third Holder

*Signature of witness , along with name and address are required, if the account holder affixes thumb impression instead of signature

1 I / We want the details of my / our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the AMC / DP as follows;(please tick, as appropriate)

Name of nominee(s) Nomination: Yes / No

2 I hereby authorize (nominee number) _____ to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He / She is authorized to encash my assets up to _____ % of assets in the account or Rs. _____ . (Optional)

(strike off portions that are not relevant)

3 This nomination shall supersede any prior nomination made by me / us, if any.

Rights, Entitlement and Obligation of the investor and nominee:

- If you are opening a new demat account/ MF folios, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular.
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgement from the AMC / DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account / folio.
- In case all your nominees do not claim the assets from the AMC / DP, then the residual unclaimed assets shall continue to be with the AMC in case of MF units and with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account/folio, in case of your physical incapacitation, at any point of time and not just during opening of account / folio. This mandate can be changed any time you choose.
- The signatories for this nomination form shall be as per mode of holding in the folio(s) / demat account(s) i.e.
 - 1) 'Either or Survivor' Folios/ Accounts- any one of the holder can sign
 - 2) 'First holder' Folios/ Accounts- only First holder can sign
 - 3) 'Jointly' Folios/ Accounts- all holders have to sign
- AMCs/ DPs shall transmit the folio / account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- In case of a joint account / folio, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the AMC / DP.
- In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.

% share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
A	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%

4 Signature(s) – As per the mode of holding in demat account(s)

Signature (s) of holder *	 Sole Holder	 Second Holder	 Third Holder
	Name of Holder		

*Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature.

DECLARATION FORM FOR OPTING OUT OF NOMINATION (ANNEXURE 1 B)Date:

To,

Bondbazaar Securities Private Limited,

204-205, Balarama Co-Op Housing Society

Ltd.,

Bandra Kurla Complex, Bandra East, Mumbai- 400051.

DP ID Client ID

First Holder Name	
Second Holder Name	
Third Holder Name	

I/We hereby confirm that I/We do not wish to appoint any nominee(s) in my/our trading/demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my/ our legal heirs would need to submit all the requisite documents/information for claiming of assets held in my/our trading/ demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading/demat account.

<input type="checkbox"/> F1	First Sole Holder or Guardian (in case of Minor)
------------------------------------	--

<input type="checkbox"/> F3	Second Holder
------------------------------------	---------------

<input type="checkbox"/> F3	Third Holder
------------------------------------	--------------

Note:You can add a nominee to your account online on www.bondbazaar.com after account is opened.

TO,

BONDBAZAAR SECURITIES PRIVATE LIMITED

204-205, Balarama Co-Op Housing Society Ltd, Bandra Kurla Complex, Bandra East, Mumbai -400051

SUB: ACKNOWLEDGEMENT

This is to acknowledge the receipt of following documents. I further state and confirm that I have read and understood all the clauses of aforesaid documents.

Sl No.	Significance of the Document
1	Duly Executed Copy of KYC Forms
2	Rights and Obligations
3	Risk Disclosure document (RDD) for Capital and Debt Market Segments
4	Guidance Note - Dos and Don'ts for trading on the Exchange(s)
5	Bondbazaar Tariff Sheet
6	Policies and Procedures Document pursuant to the SEBI circular dated December 03, 2009
7	General Terms & Conditions governing securities trading and broking services of Bondbazaar
8	Running account authorization, Mobile declaration & Client defaulter declaration

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of Bondbazaar.

Yours faithfully,

Client Name

 Client's Signature

DATE

Date:

To,

Bondbazaar Securities Pvt. Ltd.

204-205, Balarama Co-Op Housing Society Ltd, Bandra Kurla Complex, Bandra East, Mumbai -400051.

Dear Sir,

Sub : Requesting for bill/transaction/holding statement through email.

I/We request you to send me/us bill, transaction and holding statement of my CDSL Demat account no.

_____ at following email address:

I/We fully agree and are aware of following Terms and Conditions mentioned below.

(a) that I/We will not receive the bill, transaction and holding statements in paper form.

(b) that I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.

(c) that I/We am/are aware that the bill, transaction and holding statements may be accessed by other entities in case the confidentiality / secrecy of the login name and password is compromised.

(d) that I/We in case bill, transaction and holding statements are sent by email, I/We will immediately inform the Bondbazaar. about change in email address, if any.

In case, the Bondbazaar is not able to provide bill/transaction statement to its Clients by email or on website due to any reason (including bounced emails), Bondbazaar Pvt. Ltd. will ensure that the transaction statement is provided to me/us in paper form as per the time schedule stipulated in the Bye Laws & Business Rules of CDSL.

I/We hereby request you to send the statements:

Daily Fortnightly Weekly Monthly

Yours faithfully,



e-DIS Facility and Declaration

I, the sole holder, or we, the Joint Holders confirm that we would like to designate the First Demat Account Holder (who is a Resident Indian Individual) of our account to receive the CDSL TPIN (or any such other similar PIN as required by any or all Depositories to transact as per the e-DIS facility offered by such Depository) on his/her mobile/email address for execution/ permission of all such transactions & ancillary facilities to be availed via such mechanism from any or all Depositories.

I/We have received and read the Rights and Obligations documents and terms & conditions and agree to abide by and be bound by the same and the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true to the best of my/our knowledge as on the date of making this application.

I/We agree and undertake to intimate the DP of any change(s) in the details/particulars mentioned by me/us in this form.

I/We further agree that any false/misleading information given by me/us or suppression of any material information will render my account liable for termination and suitable action.



Sign wherever you see

Option form for issue of DIS booklet

Voluntary

Date : D D M M Y Y Y Y

DP ID		Client ID	
First Holder Name			
Second Holder Name			
Third Holder Name			

To,
Bondbazaar Securities Pvt. Ltd.
204-205, Balarama Co-Op Housing Society Ltd, Bandra Kurla Complex, Bandra East, Mumbai - 400051.

Dear Sir,
I/We hereby state that: [select one of the option given below]

Option 1:

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account for executing delivery instructions for settling stock exchange trades (Settlement related transactions) effected through such Clearing Member.

OR

Option 2:

I/We do not require the Delivery Instruction Slip (DIS) for the time being. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

I/We hereby select Option 1 Option 2

First/Sole Holder or Guardian (in case of Minor)
--

Second Holder

Third Holder

Client E-mail ID Client

Optional

--

Mobile Number

--

Declaration

I hereby declare that the mobile no./email ID mentioned in the Account opening form/ request for change in mobile no./email ID is my own

Request letter for registration of mobile no./email ID of person belonging to the client's family

Please note that the mobile no./email id/both mentioned in the Account opening form/ request for change in mobile no./email ID belongs to Mr/Mrs. Ms. _____ who is my

_____ [relationship with the client]

First/Sole Holder or Guardian (in case of Minor)
--

[Only the mobile no./email id of your spouse, dependent children and dependent parents can be registered in your demat account]

Sign wherever you see



BONDBAZAAR SECURITIES PRIVATE LIMITED

Registered Office: 204-205, Balarama Co-Op Housing Society Ltd, Bandra Kurla Complex, Bandra East, Mumbai -400051

Corporate Office: 206, Balarama Co-Op Housing Society Ltd, Bandra Kurla Complex, Bandra East, Mumbai -400051 CIN Number: U67100MH2021PTC364337 | SESI Registration Number: INZ000303236 - 31st Dec 2021 |

NSE Membership No. 90247 | BSE Membership No. 6768 | CDSL DP No. IN-DP-700-2022 7th July 2022

Email Address: connect@bondbazaar.com | Telephone Number: 022-35121163/64 | Website: www.bondbazaar.com